



Additional Terms for dealing in the United Arab Emirates

These Additional Terms ("**Terms**") supplement the existing agreement between you and Us for the provision of execution, clearing, settlement and/or custody services and apply where you request trading permissions for investing in Securities (as defined below).

1. **Definitions.** In these Terms the following words have the following meanings:

"Applicable Law"	any applicable law, rule, regulation, order, ruling, judicial interpretation or directive (whether or not having the force of law), including the laws, rules, regulations, customs and usage of the Exchanges, clearing houses and the central securities depositories in the UAE;
"Exchanges"	the UAE Stock Exchanges (ADX and DFM);
"Securities"	such financial instruments as are listed on the Exchanges from time to time;
"UAE"	the United Arab Emirates (excluding its free zones); and
"Us" or "We"	means the Interactive Brokers entity providing execution, clearing, settlement and custody services to you.

2. **Additional Terms.** By requesting trading permissions for investing in Securities, you agree as follows:

2.1 Engagement of a local counterparty. You authorise Us and/or our affiliates to act as your agent in connection with the appointment of a local counterparty in order for Us or our affiliates to give orders and instructions and receive execution, clearing, settlement and custody services (acting as your agent); and apply for and obtain a 'unique client code' in connection with transactions in Securities.

2.2 Opening omnibus accounts. You authorise Us and/or our affiliates to open or arrange for the opening of one or more omnibus accounts (as reasonably required in accordance with local market practice) for all Securities and/or money that we or our affiliates may receive or hold in connection with transactions in Securities. Such omnibus accounts will hold your Securities and money together

with that of other clients and will be labelled so as to make clear that the accounts hold only client assets. We and our affiliates (and the local counterparty) will segregate your money and Securities from our and their own money and Securities in accordance with the terms of your agreement with Us. We and our affiliates (and the local counterparty) will not pool Securities with our own property but may, subject to Applicable Law, pool your Securities with those held for other clients. Where pooling takes place, you will be treated as the owner of a proportion of the Securities, with any shortfall being treated in accordance with the terms of your agreement with Us.

2.3 Compliance with Applicable Law. You acknowledge and agree that:

- A. All transactions on the Exchanges shall be subject to, and you shall at all times comply with, Applicable Law (in particular in respect of anti-money laundering and counter-terrorism financing). You further agree to (promptly upon request) provide Us or our affiliates with any information, data or documents required by Applicable Law;
- B. In order to facilitate your investing in Securities, We and/or our affiliates are required to retain all identification data pertaining to you together with (i) any other information or documents obtained as part of the customer due diligence process; (ii) account records and business correspondence; and (iii) transaction and voting records, for a minimum period of ten (10) years after the closure of any relevant account(s); and
- C. By requesting trading permissions for investing in Securities, you consent to Us retaining your information, data and documents as described above and you agree that information, data and documents relating to you (or, where applicable, any beneficial owners or persons on whose behalf you are acting) may be shared with the local counterparty, the Exchanges and/or as otherwise required by Applicable Law. We handle your personal data in accordance with our Privacy Policy available at:
https://gdcdyn.interactivebrokers.com/Universal/servlet/Registration_v2.forSampleView?formdb=2192.

2.4 Voting and AGMs. Where Securities are held in omnibus accounts, you will not be able to directly exercise any rights, but will instead need to do so through Us and/or our affiliates. If we receive notification of any corporate actions and/or general assembly meetings in relation to Securities, we will endeavour to notify you of the same and obtain your instructions (and for general assembly meetings we will endeavour to notify you of the meeting, the agenda and the issues to be voted on at least ten (10) days before the date of the meeting). Should your instructions not be received within the stipulated timeframe, we will not be obliged to take any action in connection therewith. Subject to receiving timely instructions, we will take or procure that the local counterparty takes such steps as are reasonably necessary to (i) enable you or your designated agent to

enforce or exercise your rights, entitlements and offers regarding Securities and (ii) complete proxies or exercise voting rights relating to Securities. For the avoidance of doubt, the foregoing shall not apply where the Securities are held in the name of the local counterparty or its affiliates (if and to the extent required by Applicable Law or market practice), in such circumstances there will be no right to appoint a proxy or exercise voting rights in respect of Securities. In the absence of timely instructions, we or our affiliates (or the local counterparty or its affiliates) may, but shall not be obliged to, take any action which we (or they) in our (or their) sole discretion consider is advantageous. Fractional positions resulting from corporate actions will be dealt with in accordance with the policies of the local counterparty from time to time.

3. Miscellaneous

These Terms shall be interpreted and construed in accordance with the terms of your agreement with Us and, in particular, the governing law, choice of jurisdiction and arbitration provisions therein shall apply equally to these Terms. To the extent of any conflict between these Terms and your agreement with Us (in connection with Securities), these Terms will prevail.