



IMPORTANT NOTICE - INVESTMENT IN TAIWAN SECURITIES

Please read the following notice carefully for important information about trading Taiwanese Securities through Interactive Brokers.

Under Article 3 of the Measures Governing Investment Permit of the People of Mainland Area, you may not trade on the Taiwan securities exchanges (TWSE and TPEX) if you are a person or legal entity resident in mainland China or using funds originating from or held in accounts in Taiwan or mainland China.

This means you are not allowed to trade Taiwanese Securities if:

1. The funds in your account are from Taiwan or mainland China; and/or
2. you (or any clients or investors whose funds are in your accounts) are:
 - a. resident in mainland China; or
 - b. a Chinese entity or individual who is significantly invested in (30% or more) or controls any company outside of mainland China or Taiwan which engages in any investment activity in Taiwan.

For further information, please refer to the [TWSE Q&A for Overseas Chinese and Foreign Investors](#), which also applies to TPEX-listed securities.