IRA Trustee Transfer Form

Complete this form to transfer an existing IRA to an
Interactive Brokers LLC (IB LLC) IRA

General Instructions: Print and mail this form to your current trustee or custodian. **ACATS:** If your investment and delivering firm is an ACATS participant, initiate your transfer from the transfer positions page in Client Portal.

1. IRA Account Information

Interactive Brokers Information

Please print or type

IB LLC Account Number
IRA Owner's Name
Social Security Number

Resigning Trustee/Custodian Information

Please print or type

Account Number	
IRA Owner's Name	
Firm Name	
Firm Address	
City/State	**Zip Code**
Contact Phone	

Interactive Brokers Account Type*

Choose one

- ☐ Traditional IRA
- ☐ Roth IRA
- ☐ Inherited Trad./Rollover
- ☐ SIMPLE
- ☐ Rollover IRA
- ☐ SEP IRA
- ☐ Inherited Roth

Current Trustee/Custodian Account Type*

Choose one

- ☐ Traditional IRA
- ☐ Roth IRA
- ☐ Inherited Trad./Rollover
- ☐ SIMPLE
- ☐ Rollover IRA
- ☐ SEP IRA
- ☐ Inherited Roth

* *The IRA account types must match exactly for most direct transfers. Check with your current custodian before using this form. Some custodians may require use of an IRA Rollover form.*

2. Current Custodian/Trustee Transfer Authorization

• Please accept this form as your authority to transfer the cash balance and/or securities to Interactive Brokers as successor Custodian of the above referenced retirement account.
Only complete the portion(s) applicable to your transfer.

Transfer Instructions

Cash Transfer $__________________ **.**

US Securities - Limited securities include US Stocks, US Warrants or US Bonds only. Only WHOLE SHARE amounts for long US securities are eligible (no fractional or short shares). List the security name, symbol/CUSIP and quantity.

Security Name	Symbol/CUSIP	Quantity
Security Name	Symbol/CUSIP	Quantity
Security Name	Symbol/CUSIP	Quantity
Security Name	Symbol/CUSIP	Quantity
Security Name	Symbol/CUSIP	Quantity
Security Name	Symbol/CUSIP	Quantity

InteractiveBrokers

3. Required Minimum Distribution ◆ Please read.

Required Minimum Distribution "RMD": "I understand that the IRS rules requires annual withdrawals at a particular age. If my RMD payment is not received before the IRS mandated deadline, the IRS may penalize a percentage of the required distribution. The RMD amount is recalculated each year based on my estimated life expectancy and year-end account balance. For additional information, I may contact the IRS directly, visit www.IRS.gov or consult a professional tax advisor."

4. IRA Transfer Certification ◆ Read & check the box to certify your transfer.

☐ **Trustee to Trustee Transfer**

I certify that: (1) The funds or property being deposited at my request will not be a distribution to me, but a tax free transfer not subject to the 1-year waiting period that applies to rollovers. **(2)** The funds or property are eligible for direct transfer between Traditional or Roth IRAs (or between SEP-IRA or, after two years of participation, a SIMPLE IRA and a Traditional IRA). **(3)** The transfer is not a rollover of funds from an IRA or qualified retirement plan re-deposited into another IRA. **(4)** The transfer is not from a Traditional, SEP, or SIMPLE IRA to a Roth IRA subject to tax. **(5)** I understand the irrevocable designation of this transaction as a trustee-to-trustee IRA transfer.

5. Authorization & Signature ◆ Your signature is required to authorize this transfer request.

Print Name:	IRA Owner Signature:	Date:

6. Notary Signature & Seal (If required by your current custodian)

On this_____day of__________, 20_____, the person who signed, who is known to or was identified by me, personally appeared and acknowledged to me that he or she signed this form. In witness thereof, I have signed below on this date.

[NOTARY SEAL]

My commission expires:

Signature of Notary

Jurisdiction

7. Medallion Signature Guarantee *(If required by resigning firm)*

MEDALLION SIGNATURE GUARANTEE

8. Interactive Brokers LLC Acceptance

Interactive Brokers LLC (IB LLC) hereby accepts the appointment as successor custodian for the above referenced account.

Lanetta R. Phillips

Lanetta R. Phillips Manager, IRA Services - Client Services

INTERACTIVE BROKERS AUTHORIZED SIGNATURE NAME

Contact:	Contact Phone:	IB LLC Tax ID#:
IRA Services Department	877-442-2757	13-3863700

9A. Delivery Instructions for Cash Send cash by bank wire transfers or check.

USD Checks *IRA check deposits are subject to our credit hold policy.*

Make all checks <u>*payable to Interactive Brokers LLC</u> as follows:

Interactive Brokers LLC <Client Name>
PO Box 736868
Chicago, Illinois 60673-6868
Attn: Cashiering Department - IRA

Please reference client's IBLLC account number on memo line.

USD Bank Wires

Wire funds as follows:

SWIFT BIC Code: CHASUS33XXX
ABA Number: 021000021
Bank Account Name: Interactive Brokers LLC
Bank Account Number: 633736902
For further benefit to: IB Account Number / Client's Name
Bank address: JPMorgan Chase Bank, N.A., 383 Madison Avenue
New York, NY, 10017, United States

9B. Delivery Instructions for Positions

DTC Paticipant ID	0534
Beneficiary Account	Please reference the IB Account Number
PSET	DTCYUS33XXX

Please note: IB LLC cannot accept stock certificates.