



ACKNOWLEDGEMENTS BY CLIENT

I/We acknowledge that:

- I/We have carefully read the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information and Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges.
- The contents of all relevant agreements and disclosures (including but not limited to the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges) have been fully explained to me/us by the materials provided by IBHK in a language which I/We understand. I/We have agreed to the IBHK Client Agreement being in English.
- Should I/We request IBHK to provide me/us trading permission in relation to securities listed or traded on the Stock Exchange of Hong Kong or for the Stock Connect Northbound Trading Service, my/our consent in relation to use of my personal data under the IBHK Client Agreement will apply. I/We further acknowledge that if I/We do not agree to give my/our aforementioned consent under the IBHK Client Agreement, I/We will not request for or request to remove from such relevant trading permission.
- Should I/We choose to use the algorithms available on the trading platform, I/We certify that I/We am/are aware of the training and documentation available on the IBHK website and have a good understanding of the algorithms as well as the compliance and regulatory issues that may arise from algorithmic trading.
- I/We have been given an adequate opportunity to ask questions of IBHK's representatives and to consult my/our own legal advisers about the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information and Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges.
- I/We have received the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information and Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges. I/We am/are trading on my/our own account.
- I/We have read and completed the Account Application and Supplemental Questions for Hong Kong Users previously provided to me/us by IBHK and confirm that the information I/We have provided is true and complete.
- I/We agree to electronically sign additional documents included during the account opening process and from time to time, including, but not limited to risk disclosures and other agreements.

I/We confirm my/our proficiency in English. I/We am/are able to read, understand and agree to the contents of the account opening documents in English, including the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges.

本人/吾等 確認精通英文，能閱讀、明白、並同意盈透證券香港有限公司 ("IBHK") 以英文提供的所有開戶檔，包括客戶協定、風險說明及對就在香港及在香港交易所進行交易的使用者有效的額外監管資訊條款。

Account Number: _____

Name of Account

Holder: _____

Signature of Account
Holder: _____

Date: _____

Name of Second
Account Holder: _____

Signature of Second
Account Holder: _____

Date: _____

STAFF DECLARATION (For Internal Use only)

I declare:

- The client was provided with the IBHK Client Agreement and the Hong Kong Risk Disclosure Statements in the English Language; and
- The client was invited to read the IBHK Client Agreement and the Hong Kong Risk Disclosure Statements, ask questions and take independent advice if the client wishes.

Signature of Registered Representative: _____

Initials of Registered Representative: _____

(Account Number: _____)